



Serial number

Amount paid

# Exemption Application

Every organization filing an application for exemption from California corporation franchise or income tax must furnish the information and data specified and pay the required \$25 application fee. If the organization fails to comply with these requirements, the application will be denied. Revenue and Taxation Code Section 26451.3 provides that this application, together with any supporting documents, shall be open to public inspection if the exemption is granted. Upon request of the organization, public disclosure of such documents may be withheld if such disclosure would adversely affect the organization or national defense.

Name of organization as shown in your organization's articles or declaration of trust <b>ROTARY CLUB OF SANTA ROSA FOUNDATION</b>		Federal employer identification number <b>68-0205619</b>
Address (number and street) <b>P.O. Box 505</b>		Daytime telephone number ( )
City <b>Santa Rosa</b>	State <b>California</b>	ZIP code <b>95402</b>
Name of representative to be contacted regarding additional requirements or information <b>Sam P. Saunders</b>		Daytime telephone number <b>(707) 578-1120</b>
Representative's mailing address <b>131 Stony Circle, Suite 500</b>		
City <b>Santa Rosa</b>	State <b>California</b>	ZIP code <b>95401</b>

**ALL applicants must complete items 1 through 6 and furnish the information requested on Sides 2, 3 and 4 as applicable.**

1a Enter the California Revenue and Taxation Code Section under which exemption is claimed. See Instruction D ..... 23701d  
b Primary activity of organization: Endowment fund to support other 23701d organizations

2a What is the form of the organization?  Incorporated  Now being incorporated  Unincorporated association  Trust  
(1) Date organized 10-31-89

b If incorporated, furnish the following information:  
(1) Date incorporated 11-15-89 (2) California corporation number 1652585  
(3) If incorporated in another state, identify the state \_\_\_\_\_

3a Has this organization or its predecessor previously applied for exemption?  Yes  No  
b If "yes," check the appropriate box(es) and enter either "Granted" or "Denied" and also enter the date the exemption was "Granted" or "Denied" after the box(es) checked:  
 California \_\_\_\_\_ Date \_\_\_\_\_  Federal \_\_\_\_\_ Date \_\_\_\_\_  Other State \_\_\_\_\_ Date \_\_\_\_\_

c Provide the number under which the organization previously filed with the Franchise Tax Board. \_\_\_\_\_  
d Furnish copies of any determination letters received.

4a Has the organization filed federal income tax returns?  Yes  No not required to file  
b If "yes," state type of returns and years filed. \_\_\_\_\_ June 30

	Yes	No
5 Annual accounting period (must end on last day of the month). _____		
6a Is this a new organization? If "no," attach a statement indicating the name of the predecessor(s), the period during which it was in existence, the reasons for its termination and the number under which it previously filed with the Franchise Tax Board ....	X	
b Is this a membership organization? If "yes," attach a statement which fully explains the qualifications for members, the different classes of membership, the number of members in each class and the voting rights and privileges accorded each class .....		X
c Has the organization made, or are there plans to make, any distribution of its property or surplus to officers or members? If "yes," attach a detailed statement .....		X
d Will any of the incorporators share any facilities with the organization? If "yes," attach a detailed explanation .....		X
e Will any property be rented, purchased or transferred in any way from any of the incorporators? If "yes," attach a detailed explanation .....		X
f Will any promoter, incorporator, founder or member be employed by the organization? If "yes," furnish complete details, including duties, responsibilities, qualifications and compensation .....		X
g Will any member of the board of directors be compensated for services other than services performed as a board member, e.g. officer, and/or employee? If "yes," furnish the name(s) of the director(s), and the amount(s) of compensation for each. Also list the names of the other directors, indicating their blood or marriage relationship, if any, to the compensated director(s) .....		X

**Be sure to include the \$25 application fee. Do not send cash. Allow 60 days for processing.**

**Under penalties of perjury, I declare that I have examined this application, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete.**

<u>Jan. 14, 1991</u> DATE	_____ SIGNATURE OF OFFICER OR REPRESENTATIVE	<u>Treasurer</u> TITLE
<u>Santa Rosa, CA.</u> PLACE SIGNED	[Versions of this form prior to 1987 are obsolete and should not be used. Please destroy.]	

- 7 To insure that the Franchise Tax Board will process your exemption application, attach the following information to your application.
- ✓ a If the organization is incorporated, a copy of the endorsed articles of incorporation and all subsequent amendments (if it is now being incorporated, submit proposed articles to the Secretary of State with the application); if it is not incorporated, a copy of the constitution, articles of association, declaration of trust or other document setting forth the aims and purposes of the organization and signed by the principal officers or trustees.
  - ✓ b A copy of the bylaws, proposed bylaws or other code of regulations.
  - ✓ c Complete statements of receipts and expenditures, assets and liabilities for each accounting period that the organization was in existence and for which exemption is requested (do not send bank statements or monthly reports). Organizations that have not commenced operations or have been operating less than one year, see item "d" below.
  - d A proposed budget showing the sources of income and areas of expenditures for the first year of operation of a newly formed organization or one commencing operations (the budget is required before the Franchise Tax Board will process the application and should be based upon the most reasonable expectations).
  - e A statement describing the specific purposes for which the organization was formed (do not quote the articles of incorporation or bylaws for this purpose).
  - f A statement describing in detail the programs and activities that the organization presently conducts or will conduct and how it will accomplish its specific purposes.
  - g A statement describing in detail each fund-raising activity and each business enterprise the organization has engaged in or plans to engage in with other parties (accompanied by copies of all agreements, if any, for the conduct of each fund-raising activity or business enterprise).
  - h A statement that fully explains any discontinued specific activities that the organization has engaged in or sponsored (give dates of commencement and termination and the reasons for discontinuance).
  - i A copy of each lease, if any, in which the organization is the lessee or lessor of property (real, personal, gas, oil or mineral), or in which an interest is owned under such lease, together with copies of all agreements with other parties for development of the property.
  - j Samples of any literature that the organization sells or distributes and samples of any organizational advertising.

Each item listed below refers to a separate Revenue and Taxation Code (R&TC) section. Select the appropriate section under which your organization claims exemption and provide the requested information.

- 8 **R&TC Section 23701a - Labor, agricultural or horticultural organization:** Submit an explanation of any services to be performed for members. Cooperative organizations applying for exemption under R&TC Section 23701a must submit a copy of the federal exemption letter showing exemption under IRC Section 501(c)(5).
- 9 **R&TC Section 23701b - Fraternal beneficiary society, etc.; R&TC Section 237011 - fraternal society:**
- a State whether the organization operates, or plans to operate, under the lodge system or for the exclusive benefit of the members of an organization so operating. Operating under the lodge system means carrying on activities under a form of organization that comprises local branches, called lodges, chapters or the like, which are largely self-governing and chartered by a parent organization.
  - b If the organization is a subordinate or local lodge, etc., attach a certificate signed by the secretary of the parent organization, under the seal of that organization, certifying that the subordinate lodge is a duly constituted body operating under the jurisdiction of the parent body.
  - c If the organization is a parent or grand lodge, attach a statement showing the number of subordinate lodges in active operation and whether periodic meetings are actually held.
  - d For R&TC Section 23701b organizations only, attach a statement describing the types of benefits (life, sick, accident or other benefits) paid, or to be paid, to members.
- 10 **R&TC Section 23701c - Cemetery company or corporation chartered solely for burial purposes:**
- a Attach these statements and/or documents.
    - (1) Complete copy of the sales contract or other document involved in acquisition of cemetery property by the organization.
    - (2) Complete copy of any contract the organization has that designates an agent to sell the cemetery lots.
    - (3) Names of officers and directors of your organization from the date of incorporation to the present date and the period for which each held office.
    - (4) Appraised value of cemetery property as of the date acquired (the appraisal should be obtained from sources other than the parties in interest).
  - b Does the organization have or plan to have a perpetual care fund?  Yes  No  
 If "yes," furnish a copy of the federal exemption letter, a copy of the fund agreement and a statement explaining the nature of such fund (cash, securities, unsold land, etc.). Also attach a statement that fully explains the manner in which the fund is or will be administered, the specific purposes for which the fund is to be used, and the name(s) of the person(s) administering the fund.
  - c Does the organization operate a crematorium?  Yes  No
- 11 **R&TC Section 23701d - Religious, charitable, scientific, literary or educational organization:** Attach a statement explaining all "yes" answers in lines 11a through 11d.
- a Has the organization received, or does it expect to receive, 10 percent or more of its assets from any organization or group of affiliated organizations (affiliated through stockholding, common ownership or otherwise), any individual, or members of a family group (brother or sister whether whole or half blood, spouse, ancestor or lineal descendant)? ..... STMT 1 .....
  - b Is the organization now, has it ever been, or does it plan to be engaged in carrying on propaganda, or otherwise advocating or opposing pending or proposed legislation (this includes dissemination of such information to the general public while representing the organization)? .....
  - c Has the organization participated in or does it plan to participate or intervene in any political campaign (including the publishing or distributing of statements) on behalf of, or in opposition to, any candidate for public office? .....
  - d Does the organization hold, or plan to hold, 10 percent or more of any class of stock or 10 percent or more of the total combined voting power of stock in any corporation? .....

	Yes	No
a	X	
b		X
c		X
d		X

Rotary Club of Santa Rosa Foundation

I.D.# 68-0205619

Statement 1

Attachment to Form 3500

Question 11a, Side 2

The Foundation has received a contribution of \$500.00 from an unrelated corporation which at the time of receipt exceeded ten percent of the Foundation's assets. The Foundation does not expect to receive more than ten percent of its assets from one person; however, while the Foundation's endowment fund is in its formative stage it is possible that some contributions received may exceed ten percent of assets.

Rotary Club of Santa Rosa Foundation

I.D. # 68-0205619

Statement 2

Attachment to Form 3500

Question 7e and f

Statement of purpose and activities :

Present and proposed activities consist of the formation of an endowment fund from contributions received primarily from members of the Rotary Club of Santa Rosa and from members of the public through memorial contributions on behalf of deceased Rotary Club members. It is expected that, over time, major gifts will be received by bequest from estates and trusts of Rotary Club members. The Foundation will accumulate contributions received and will then distribute only net income from the endowment fund to selected qualified Section 501(c)(3) organizations located or operating in the general area of Santa Rosa, CA. Specific recipient organizations have not yet been identified, but it is likely that they are of the type that would qualify for funding by the United Way. The foundation trustees will decide which qualified organizations will be recipients. No fund-raising events or business operations are planned in connection with functioning of this foundation.

No committees or professional fund raisers are contemplated. The only fund raising activity thus far has been to make announcements at Rotary Club meetings and through the Club's bulletin.